



Rec'd 1763 \$

Request For Continued Examination (RCE) Transmittal Address to: Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Application Number	09/988,559
	Filing Date	20 Nov 2001
	First Named Inventor	WADA et al.
	Art Unit	1763
	Examiner Name	Parviz, Hassanzadeh
	Attorney Docket Number	KAGEI-0102-US

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a. ☒ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____

ii. ☒ Other 5 May 2004 Reply and Amendment

b. ☒ Enclosed

i. ☒ Amendment/Reply

iii. ☐ Information Disclosure Statement (IDS)

ii. ☐ Affidavit(s)/Declaration(s)

iv. ☐ Other _____

2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

b. ☐ Other _____

3. **Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 50-3136

i. ☒ RCE fee required under 37 CFR 1.17(e)

ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)

iii. ☐ Other _____

b. ☒ Check in the amount of \$ 770.00 enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED			
Name (Print/Type)	Mark E. Olds	Registration No. (Attorney/Agent)	46,507
Signature		Date	6/14/04

CERTIFICATE OF MAILING OR TRANSMISSION		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.		
Name (Print/Type)		Date
Signature		

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

06/16/2004 WABDELRI 00000002 09988559 770.00 DP 01 FC:1801



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004 <small>Effective 10/01/2003. Patent fees are subject to annual revision.</small>		Complete if Known	
		Application Number	09/988,559
<input type="checkbox"/> Applicant Claims small entity status. See 37 CFR 1.27		Filing Date	20 Nov 2001
TOTAL AMOUNT OF PAYMENT (\$) 770.00		First Named Inventor	WADA, et al.
		Examiner Name	Parviz, Hassanzadeh
		Art Unit	1763
		Attorney Docket No.	KAGEI-0102-US

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																																													
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None		3. ADDITIONAL FEES																																													
<input checked="" type="checkbox"/> Deposit Account																																															
Deposit Account Number 50-3136																																															
Deposit Account Name KCO Law, PLLC																																															
The Director is authorized to: (check all that apply)																																															
<input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments																																															
<input checked="" type="checkbox"/> Charge any additional fee(s) or any underpayment of fee(s)																																															
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.																																															
FEE CALCULATION																																															
1. BASIC FILING FEE																																															
<table border="1"><thead><tr><th>Large Entity</th><th>Small Entity</th><th>Fee Code</th><th>Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>1001</td><td>2001</td><td>770</td><td>385</td><td>Utility filing fee</td><td></td></tr><tr><td>1002</td><td>2002</td><td>340</td><td>170</td><td>Design filing fee</td><td></td></tr><tr><td>1003</td><td>2003</td><td>530</td><td>265</td><td>Plant filing fee</td><td></td></tr><tr><td>1004</td><td>2004</td><td>770</td><td>385</td><td>Reissue filing fee</td><td></td></tr><tr><td>1005</td><td>2005</td><td>160</td><td>80</td><td>Provisional filing fee</td><td></td></tr><tr><td colspan="5">SUBTOTAL (1)</td><td>(\$) 0.00</td></tr></tbody></table>		Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid	1001	2001	770	385	Utility filing fee		1002	2002	340	170	Design filing fee		1003	2003	530	265	Plant filing fee		1004	2004	770	385	Reissue filing fee		1005	2005	160	80	Provisional filing fee		SUBTOTAL (1)					(\$) 0.00				
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid																																										
1001	2001	770	385	Utility filing fee																																											
1002	2002	340	170	Design filing fee																																											
1003	2003	530	265	Plant filing fee																																											
1004	2004	770	385	Reissue filing fee																																											
1005	2005	160	80	Provisional filing fee																																											
SUBTOTAL (1)					(\$) 0.00																																										
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE																																															
Total Claims Independent Claims																																															
Multiple Dependent																																															
<table border="1"><thead><tr><th>Large Entity</th><th>Small Entity</th><th>Fee Code</th><th>Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>1202</td><td>2202</td><td>18</td><td>9</td><td>Claims in excess of 20</td><td></td></tr><tr><td>1201</td><td>2201</td><td>86</td><td>43</td><td>Independent claims in excess of 3</td><td></td></tr><tr><td>1203</td><td>2203</td><td>290</td><td>145</td><td>Multiple dependent claim, if not paid</td><td></td></tr><tr><td>1204</td><td>2204</td><td>86</td><td>43</td><td>**Reissue independent claims over original patent</td><td></td></tr><tr><td>1205</td><td>2205</td><td>18</td><td>9</td><td>**Reissue claims in excess of 20 and over original patent</td><td></td></tr><tr><td colspan="5">SUBTOTAL (2)</td><td>(\$) 0.00</td></tr></tbody></table>		Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid	1202	2202	18	9	Claims in excess of 20		1201	2201	86	43	Independent claims in excess of 3		1203	2203	290	145	Multiple dependent claim, if not paid		1204	2204	86	43	**Reissue independent claims over original patent		1205	2205	18	9	**Reissue claims in excess of 20 and over original patent		SUBTOTAL (2)					(\$) 0.00				
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid																																										
1202	2202	18	9	Claims in excess of 20																																											
1201	2201	86	43	Independent claims in excess of 3																																											
1203	2203	290	145	Multiple dependent claim, if not paid																																											
1204	2204	86	43	**Reissue independent claims over original patent																																											
1205	2205	18	9	**Reissue claims in excess of 20 and over original patent																																											
SUBTOTAL (2)					(\$) 0.00																																										
** or number previously paid, if greater; For Reissues, see above																																															
		Other fee (specify)																																													
		*Reduced by Basic Filing Fee Paid																																													
		SUBTOTAL (3)																																													
		(\$) 770.00																																													

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Mark E. Olds	Registration No. (Attorney/Agent)	46,507
Signature		Telephone	1.703.740.8322
		Date	6/14/04

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Attorney Docket No.: KAGEI-0102-US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): WADA, et al.

Conf. No.:

Application No.: 09/988,559

Art Unit: 1763

Filed: 20 Nov 2001

Examiner:
HASSANZADEH, PARVIZ

Title: METHOD AND APPARATUS FOR
TREATING SURFACE OF SUBSTRATE
PLATE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

R.C.E. UNDER 37 C.F.R. § 1.114
AND
REPLY TO ADVISORY ACTION OF 19 MAY 2004

Sir:

In response to the Advisory Action of 19 May 2004, please find herein a request for continued examination (R.C.E.) under 37 C.F.R. § 1.114, which constitutes a proper reply under 37 C.F.R. §1.113 to the Final Office Action of 17 March 2004.